

# Kauffman brackets on surfaces

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University of Southern California

Geometric Topology in Cortona, June 2013

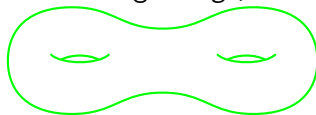
Joint work with [Helen Wong](#)

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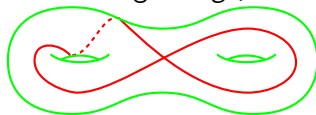
Grace Tsapsie Hibbard, born March 22, 2013

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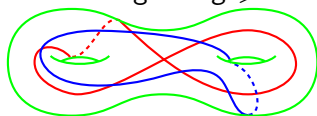
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A group homomorphism  $\rho: \pi_1(S) \rightarrow SL_2(\mathbb{C})$  defines its *character*

$$\begin{aligned} \mathcal{K}_\rho: \{\text{closed curves in } S\} &\longrightarrow \mathbb{C} \\ K &\longmapsto \text{Tr } \rho(K) \end{aligned}$$

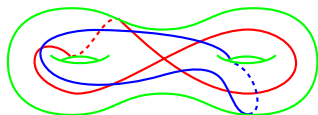
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$\mathcal{K}_\rho: \{\text{closed multicurves in } S\} \longrightarrow \mathbb{C}$

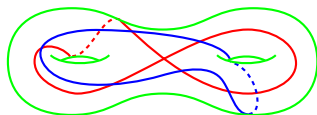
$$K = \bigcup_{i=1}^n K_i \quad \longmapsto \quad (-1)^n \prod_{i=1}^n \text{Tr } \rho(K_i)$$



### Theorem (Helling 1967)

A function  $\mathcal{K}: \{\text{closed multicurves in } S\} \rightarrow \mathbb{C}$  is the character of a group homomorphism  $\rho: \pi_1(S) \rightarrow SL_2(\mathbb{C})$  if and only if:

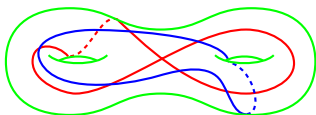




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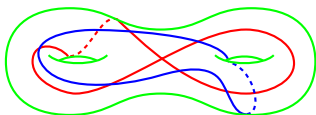
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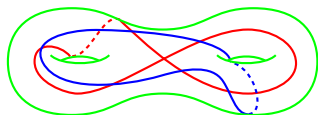
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 $K_1 = \text{crossing with } \times \text{, } K_0 = \text{crossing with } \cup \text{ and } K_\infty = \text{crossing with } \circ \text{.}$



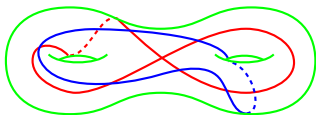
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The Skein Relation just rephrases the classical trace relation of  $SL_2(\mathbb{C})$ :

$$\text{Tr } M \text{ Tr } N = \text{Tr } MN + \text{Tr } MN^{-1}, \quad \forall M, N \in SL_2(\mathbb{C})$$



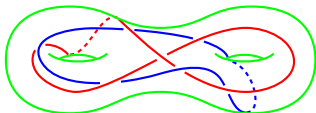
## Definition

An  $SL_2(\mathbb{C})$ -character is a function

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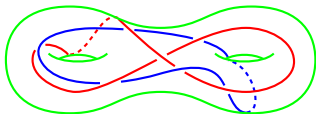
## Definition

For  $q = e^{2\pi i \hbar} \in \mathbb{C} - \{0\}$ , a **Kauffman  $q$ -bracket** is a function

$$\mathcal{K}: \{\text{framed links in } S \times [0, 1]\} \longrightarrow \text{End}(E)$$

for a finite-dimensional vector space  $E$ , such that:

- ▶ (Isotopy Invariance)  $\mathcal{K}(K)$  depends only on the isotopy class of  $K$  in  $S \times [0, 1]$
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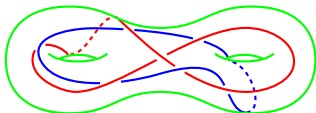
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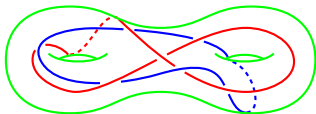
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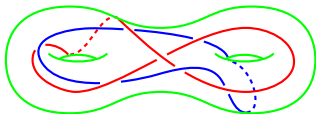
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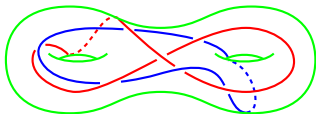
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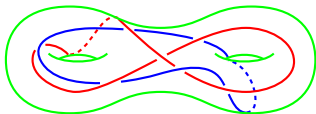
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$$\mathcal{K}_{\text{WRT}}: \{\text{framed links in } S \times [0, 1]\} \longrightarrow \text{End}(E)$$

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**Goal of this talk:** Construct other examples of Kauffman brackets



When  $q = 1$  and  $q^{\frac{1}{2}} = -1$ , a Kauffman 1-bracket is the same thing as an  $\mathrm{SL}_2(\mathbb{C})$ -character, namely as a point of the *character variety*

$$\mathcal{R}_{\mathrm{SL}_2(\mathbb{C})}(S) = \{\text{homomorphisms } \rho: \pi_1(S) \rightarrow \mathrm{SL}_2(\mathbb{C})\} // \mathrm{SL}_2(\mathbb{C})$$

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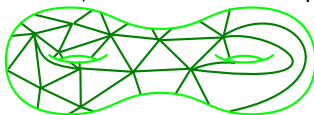
Turaev (1987), Frohman, Bullock, Kania-Bartoszyńska, Przytycki, Sikora (around 2000), Charles, Marché:

Interpretation of a Kauffman  $q$ –bracket as a “point” in a quantization of the character variety  $\mathcal{R}_{\mathrm{SL}_2(\mathbb{C})}(S)$ , namely as a quantum  $\mathrm{SL}_2(\mathbb{C})$ –character.

How to construct a group homomorphism  $\rho: \pi_1(S) \rightarrow SL_2(\mathbb{C})$ ?

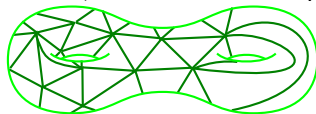
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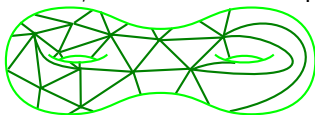
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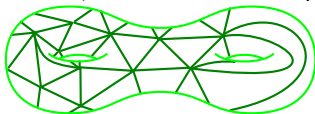


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This defines a pleated surface with shear-bend coordinates  $x_i$ , and with monodromy  $\rho: \pi_1(S - \mathcal{V}_\Gamma) \rightarrow PSL_2(\mathbb{C}) = SL_2(\mathbb{C}) / \pm \text{Id}$

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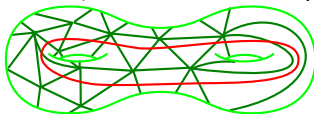
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**Main Point:** The construction is classical and, for a curve  $K \subset S - \mathcal{V}_\Gamma$ , gives a very explicit formula for  $\text{Tr } \rho(K)$



More precisely, if  $K$  crosses the edges  $e_{i_1}, e_{i_2}, \dots, e_{i_n}$ ,



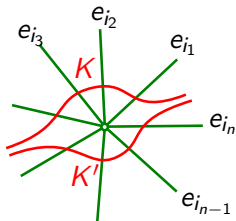
$$\begin{aligned} \text{Tr } \rho(K) &= \pm \text{Tr} \left[ M_1 \begin{pmatrix} x_{i_1}^{\frac{1}{2}} & 0 \\ 0 & x_{i_1}^{-\frac{1}{2}} \end{pmatrix} M_2 \begin{pmatrix} x_{i_2}^{\frac{1}{2}} & 0 \\ 0 & x_{i_2}^{-\frac{1}{2}} \end{pmatrix} \dots M_n \begin{pmatrix} x_{i_n}^{\frac{1}{2}} & 0 \\ 0 & x_{i_n}^{-\frac{1}{2}} \end{pmatrix} \right] \\ &= \pm \sum_{\pm\pm\dots\pm} (0 \text{ or } 1) x_{i_1}^{\pm\frac{1}{2}} x_{i_2}^{\pm\frac{1}{2}} \dots x_{i_n}^{\pm\frac{1}{2}} \end{aligned}$$

where

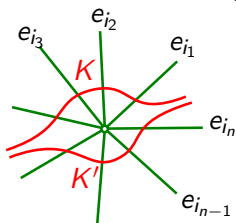
$$M_k = \begin{cases} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} & \text{if } \begin{array}{c} \nearrow \\ \leftarrow \\ \rightarrow \\ \searrow \end{array} \\ \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} & \text{if } \begin{array}{c} \leftarrow \\ \searrow \\ \nearrow \\ \rightarrow \end{array} \end{cases}$$

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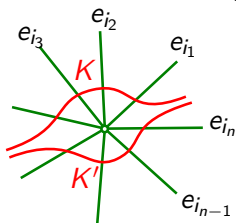


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**Fact**

The edge weights  $x_i$  define an  $SL_2(\mathbb{C})$ -character on the *closed* surface  $S$  if and only if, for every vertex,

$$\left\{ \begin{array}{l} x_{i_1}^{\frac{1}{2}} x_{i_1}^{\frac{1}{2}} \dots x_{i_1}^{\frac{1}{2}} = -1 \\ 1 + x_{i_1} + x_{i_1} x_{i_2} + x_{i_1} x_{i_2} x_{i_3} + \dots + x_{i_1} x_{i_2} \dots x_{i_{n-1}} = 0 \end{array} \right.$$

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namely such that:

- ▶ (Isotopy Invariance)  $\mathcal{K}(K)$  depends only on the isotopy class of  $K$  in  $S \times [0, 1]$
- ▶ (Superposition Rule)  $\mathcal{K}(K_1 \cup K_2) = \mathcal{K}(K_1) \circ \mathcal{K}(K_2)$  whenever  $K = K_1 \cup K_2$  with  $K_1 \subset S \times [0, \frac{1}{2}]$  and  $K_2 \subset S \times [\frac{1}{2}, 1]$
- ▶ (Skein Relation)  $\mathcal{K}(K_1) = q^{\frac{1}{2}}\mathcal{K}(K_0) + q^{-\frac{1}{2}}\mathcal{K}(K_\infty)$  if  $K_1, K_0, K_\infty$  are the same everywhere, except in a small box where  $K_1 =$  ,  $K_0 =$   and  $K_\infty =$  

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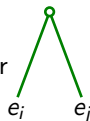
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**Proposition** (FB + Xiaobo Liu, 2007, relatively easy)

If  $q^N = 1$  with  $N$  odd, smallest dimensional choices of such operators  $X_i^{\frac{1}{2}} \in \text{End}(E)$  are classified by

- ▶ edge weights  $x_i \in \mathbb{C}^*$  such that  $X_i^{\frac{N}{2}} = x_i^{\frac{1}{2}} \text{Id}_E$
- ▶ choices of  $N$ -roots for numbers  $x_{i_1}^{\frac{1}{2}} x_{i_2}^{\frac{1}{2}} \dots x_{i_n}^{\frac{1}{2}} \in \mathbb{C}^*$  associated to the vertices

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## Theorem (FB + Helen Wong, 2011)

Given operators  $X_i^{\pm\frac{1}{2}} \in \text{End}(E)$  associated to the edges of the triangulation  $\Gamma$  as in Step 1, there is an explicit formula

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FB + Qingtao Chen, 2013 More conceptual approach based on the representation theory of the quantum group  $U_q(\mathfrak{sl}_2)$

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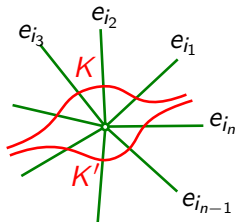
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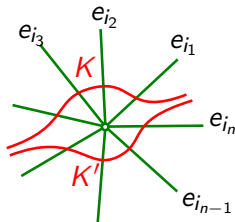
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**Step 3a.** If  $x_{i_1}^{\frac{1}{2}} x_{i_2}^{\frac{1}{2}} \dots x_{i_n}^{\frac{1}{2}} = -1$  at a vertex, the corresponding operators  $X_i^{\frac{1}{2}} \in \text{End}(E)$  can be chosen so that

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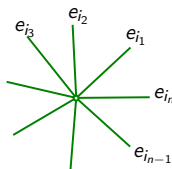
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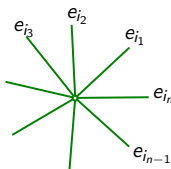
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Step 3b. For a vertex  $v =$

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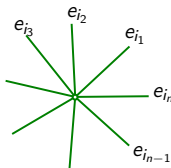
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$$F_v = \ker (1 + qX_{i_1} + q^2X_{i_1}X_{i_2} + q^3X_{i_1}X_{i_2}X_{i_3} + \cdots + q^{n-1}X_{i_1}X_{i_2} \cdots X_{i_{n-1}})$$

and

$$F = \bigcap_{\text{vertices } v} F_v \subset E$$

## Theorem

1. *The linear subspace  $F \subset E$  is invariant under the image of the Kauffman bracket*

$$\mathcal{K}: \{\text{framed links in } (S - \mathcal{V}_\Gamma) \times [0, 1]\} \longrightarrow \text{End}(E)$$

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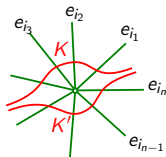
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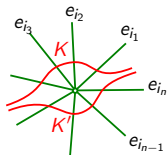
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## Corollary

$\mathcal{K}$  induces a Kauffman  $q$ -bracket

$$\bar{\mathcal{K}}: \{\text{framed links in } S \times [0, 1]\} \longrightarrow \text{End}(F)$$

for the *closed* surface  $S$



## Proposition

$$\dim F \geq \begin{cases} N^{3(g-1)} & \text{if } g \geq 2 \\ N & \text{if } g = 1 \\ 1 & \text{if } g = 0 \end{cases}$$

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with equality for generic (all?)  $\mathcal{K}_\rho \in \mathcal{R}_{\mathrm{SL}_2(\mathbb{C})}(S)$

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\end{theorems}

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$$\begin{cases} x_{i_1} x_{i_2} \dots x_{i_n} = 1 \\ 1 + x_{i_1} + x_{i_1} x_{i_2} + x_{i_1} x_{i_2} x_{i_3} + \dots + x_{i_1} x_{i_2} \dots x_{i_{n-1}} = 0 \end{cases}$$

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- ▶ a linear map  $Z_{(M,L)}^{\text{KBB}}: Z_{S_1}^{\text{KBB}} \rightarrow Z_{S_2}^{\text{KBB}}$  to each 3-dimensional cobordism  $M$  from  $S_1$  to  $S_2$ , endowed with a framed link  $L \subset M$  and an  $\text{PSL}_2(\mathbb{C})$ -character  $\mathcal{K}_\rho \in \mathcal{R}_{\text{PSL}_2(\mathbb{C})}(M)$  compatible with the boundary data (plus a little more topological data)

**Problem** The vector space  $Z_S^{\text{KBB}}$  depends on the triangulation  $\Gamma$

$$\dim Z_S^{\text{KBB}} = N^{\#\text{faces of } \Gamma}$$

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**Good point** Well behaved under cut-and-paste

**Fact** In our construction of a Kauffman  $q$ -bracket, the operators  $X_i$  associated to the edges of the triangulation can be chosen to be in  $\text{End}(Z_S^{\text{KBB}})$

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**Proposal** Define  $F_S \subset Z_S^{\text{KBB}}$  as the set of vectors  $v \in Z_S^{\text{KBB}}$  such that, for every vertex of  $\Gamma$ ,

$$\begin{cases} X_{i_1}^{\frac{1}{2}} X_{i_2}^{\frac{1}{2}} \dots X_{i_n}^{\frac{1}{2}}(v) = -q^{\frac{n+2}{4}} v \\ (1 + qX_{i_1} + q^2 X_{i_1} X_{i_2} + q^3 X_{i_1} X_{i_2} X_{i_3} + \dots + q^{n-1} X_{i_1} X_{i_2} \dots X_{i_{n-1}})(v) = 0 \end{cases}$$

## Wish list

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This would improve the Kashaev-Baseilhac-Benedetti TQFT, by making it more independent of choices (while maintaining the good behavior under cut-and-paste)

But we cannot improve Riccardo!



But we cannot improve Riccardo! He's perfect



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Happy Birthday!!